HSBC Mutual Fund

SWP/STP & IDCW Transfer Plan Enrolment Form

Please refer to the general instructions for assistance and complete all sections in English.
For legibility, please use BLOCK LETTERS in black or dark ink.

Broker Name & ARN Code/RIA Code	Sub-broker ARN Code	Sub Code	EUIN	Branch Code
ARN-95070			E-101834	

Time Stamp

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction

Sole/1st applicant/ Authorised Signatory	X	2nd applicant / Authorised Signatory	X	3rd applicant / Authorised Signatory	X

1 UNIT HOLDER INFORMATION

Name of Sole/1st Unit Holder — First Name | Middle Name | Last Name

Folio No. _____ Date of Birth^ ~ D D M M Y Y Y Y

	First Unit Holder	Second Unit Holder	Third Unit Holder
PAN/PEKRN**			
KIN^			

Email ID

E-mail belongs to:
☐ Self ☐ Spouse ☐ Guardian (to Minor investment) ☐ Dependant Children ☐ Dependant Parents ☐ Dependant Siblings ☐ Custodian ☐ POA ☐ PMS

~ Transactions subject to rejection if minor has turned major and relevant documents for change in status not submitted. Refer SID/SAI for instructions related to folios held in the name of Minor. ***KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants.*** ****PEKRN required for Micro investments upto Rs. 50,000 in a year.***
^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

2 SYSTEMATIC TRANSFER PLAN (STP)$ (Please write Scheme Name/Plan/Option/Sub-option below) ☐ Registration ☐ Cancellation

Transfer From:		Transfer To:	
Scheme Name	HSBC Scheme Name	Scheme Name	HSBC Scheme Name
Plan		Plan	
Option/Sub-option		Option/Sub-option	

STP Frequency: ☐ Daily^ ☐ Weekly ^ ☐ Fortnightly ☐ Monthly (Default¶) ☐ Quarterly (10th)

STP Day: ☐ Monday ☐ Tuesday ☐ Wednesday (Default^) ☐ Thursday ☐ Friday

Transfer Options: ☐ Fixed Amount ☐ Capital Appreciation (1st Business Day of the month)

Transfer Amount: Amount per instalment Rs. _____ (Minimum Transfer Amount for Liquid & Overnight - Rs. 1,000. All other Schemes - Rs. 500)

Installment commencing: From M M Y Y Y Y To M M Y Y Y Y Or ☐ Until Canceled (Default)♣

STP Date ☐ 1st ☐ 2nd ☐ 3rd ☐ 4th ☐ 5th ☐ 6th ☐ 7th ☐ 8th ☐ 9th ☐ 10th (Default) ☐ 11th ☐ 12th ☐ 13th ☐ 14th ☐ 15th
☐ 16th ☐ 17th ☐ 18th ☐ 19th ☐ 20th ☐ 21st ☐ 22nd ☐ 23rd ☐ 24th ☐ 25th ☐ 26th ☐ 27th ☐ 28th ☐ 29th ☐ 30th ☐ 31st

$ To be submitted 7 days prior to the STP date incase of Registration & 14 days incase of Cancellation. 6 installments for registration. The minimum amount required under the source scheme for registering STP is ₹ 6,000. Default Date will be applied in case of no information, ambiguity or discrepancy. ¶ If no debit date is mentioned default date would be considered as 10th of every month/quarter. ^ Daily and Weekly STP facility shall be available only under Fixed Amount Systematic Transfer Plan. If the day for Weekly STP is not selected, Wednesday will be the default day. ♣ If end date is not mentioned, Until Canceled will be the default option.

3 IDCW TRANSFER PLAN (IDCWTP)

SOURCE SCHEME (From where you wish to transfer the amount)

Scheme Name HSBC Scheme Name **Plan**

Option/Sub-Option (✓) ☐ Reinvestment of IDCW@ ☐ Payout of IDCW@

TARGET SCHEME (To where you wish to transfer the amount)

Scheme Name HSBC **Plan**

Option/Sub-Option (✓) ☐ Reinvestment of IDCW@ ☐ Payout of IDCW@

IDCW Frequency ☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly ☐ Fortnightly ☐ Half Yearly ☐ Yearly

@ **Note**: IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

HSBC Mutual Fund

ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

Received from _____ Folio No. _____

Scheme _____ Plan _____ Option/Sub-option _____

☐ STP Instalment amount Rs. _____ **Frequency:** ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly

☐ IDCWTP ☐ SWP Amount Rs. _____ OR _____ Units

Frequency: ☐ Monthly ☐ Quarterly ☐ Half-Yearly ☐ Yearly

ISC Stamp, Signature & Date

PUBLIC

4 SYSTEMATIC WITHDRAWAL PLAN (SWP)$ (Please write Scheme name/Plan/Option/Sub-option below) ☐ Registration ☐ Cancellation

Scheme: HSBC Scheme Name **Plan**

Option/Sub-option*

SWP Frequency: ☐ Monthly (Default¶) ☐ Quarterly (10th) ☐ Half-Yearly ☐ Yearly

Withdrawal Options: ☐ Fixed Amount ☐ Capital Appreciation¥ (1st Business Day of the month) (Redemption amount will equal appreciation)

Period of enrolment: From M M Y Y Y Y To M M Y Y Y Y

Withdrawal preference: Amount Rs. OR Units (Redemption amount will equal appreciation)

Minimum Amount for SWP: For Liquid & Overnight Schemes - Rs. 1,000 and in multiples of Re. 1/- thereafter or 1 unit or in multiples of 1 unit. All other Schemes - Rs. 500 and in multiples of Re. 1/- thereafter or 50 units or in multiples of 1 unit.

SWP Date ☐ 1st ☐ 2nd ☐ 3rd ☐ 4th ☐ 5th ☐ 6th ☐ 7th ☐ 8th ☐ 9th ☐ 10th (Default) ☐ 11th ☐ 12th ☐ 13th ☐ 14th ☐ 15th ☐ 16th ☐ 17th ☐ 18th ☐ 19th ☐ 20th ☐ 21st ☐ 22nd ☐ 23rd ☐ 24th ☐ 25th ☐ 26th ☐ 27th ☐ 28th ☐ 29th ☐ 30th ☐ 31st

BANK DETAILS FOR THE ABOVE WITHDRAWAL

#Bank A/C No. **A/c. Type:** ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others

Bank Name

$ To be submitted 7 days prior to the SWP date in case of Registration ^^ Minimum 6 installments for Liquid and Overnight and 12 installments for all Other Schemes for registration. The minimum amount required under the source scheme for registering SWP is ₹ 6,000. ¥ Available for Growth Plan only. # Please specify the bank details in which you wish to receive the redemption proceeds. Kindly note that this bank account should be one of the registered bank account in the folio else by default the redemption proceeds will be credited into the default bank account. Also this cannot be treated as change of bank mandate.

5 DECLARATION & SIGNATURES (To be signed as per Mode of Holding)

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. I/We understand that the upfront commission will be paid directly by me/us to the AMFI registered distributors based on my/our assessment of various factors including the service rendered by the distributor. Also, the AMFI registered distributor has disclosed the commissions to me/us (in trail commission or any other), payable to him for different schemes of mutual funds from amongst which the scheme is being recommended to me/us.

I/We confirm that the details provided by me/us are true and correct. I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of HSBC for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

X	X	X
Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA

GENERAL INSTRUCTIONS

All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

Systematic Transfer Plan (STP):

1. STP will be registered within 7 calendar days from the date of your request.
2. You can cancel a registered STP at any time by submitting a written request. The cancellation will be processed within 14 days.
3. If the plan/option of the source scheme is not mentioned and there is only one plan/option available in the folio, the STP will be processed.
4. If the plan/option of the target scheme is not mentioned, the STP will be processed as per the default options mentioned in the Scheme Information Document of the respective scheme.
5. If no debit date is mentioned default date would be considered as 10th of every month/quarter.
6. STP transfers can be made on a daily basis i.e. Monday to Friday subject to such days being business days. Daily STP is available only for fixed amounts.
7. Weekly STP facility shall be available only under Fixed Amount Systematic Transfer Plan. If the day for Weekly STP is not selected, Wednesday will be the default day
8. **Minimum Transfer Amount:**
   - For Liquid & Overnight Schemes - Rs. 1,000 and in multiples of Re. 1 thereafter (Minimum Installment - 6).
   - All other Schemes - Rs. 500 and in multiples of Re. 1 thereafter (Minimum Installment - 6).

   The minimum amount required under the source scheme for registering STP is ₹ 6,000.
9. In case the criterion of the minimum amount for the purpose of transfer of units under the STP facility is not met, the AMC reserves the right to discontinue the STP/cancel the registration for STP.

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

IDCW Transfer Plan (IDCWTP):

1. IDCW Transfer Plan facility is available in the IDCW options under all open ended schemes of the HSBC Mutual Fund except HSBC Tax Saver Equity Fund where in the said scheme can only be the Source scheme and not the Target Scheme.
3. The minimum amount of IDCW eligible for transfer under the IDCWTP facility is Rs. 100 (as reduced by the amount of applicable statutory levy). In case the amount of IDCW is less than or equal to Rs. 100 per folio, the same will get compulsorily reinvested in the Source Scheme as per applicable NAV.
4. Under the IDCWTP facility, IDCW declared in the Sources Scheme will be automatically invested in the Target Scheme as per the applicable NAV of the Business Day immediately succeeding the record date.
5. The provisions pertaining to "Minimum Initial Application Amount" and "Minimum Additional Application Amount" in respect of the Target Scheme will not be applicable for the investments made through the IDCWTP facility.
6 Units allotted under the IDCWTP facility will be subject to exit load as per the provisions specified in the Scheme Information Document of the Target Scheme.

Systematic Withdrawal Plan (SWP):

1. SWP will be registered within 7 calendar days from the date of your request.
2. You can cancel a registered SWP at any time by submitting a written request. The cancellation will be processed within 14 days.
3. If your SWP request specifies both amount and units, the SWP will be processed on the basis of amount.
4. If the scheme/plan/option is not mentioned and there is only one scheme/plan/option available in the folio, the same will be processed.
5. If no debit date is mentioned default date would be considered as 10th of every month/quarter. If the day for Weekly STP is not selected, Wednesday will be the default day.
6. **Minimum Amount for SWP:**
   - For Liquid & Overnight Schemes - Rs. 1000 and in multiples of Re. 1 thereafter or 1 unit (Minimum Instalment - 6)
   - All other Schemes - Rs. 500 and in multiples of Re. 1 thereafter or 50 units or in multiples of 1 unit.(Minimum Instalment - 12)
7. In case the criterion of the minimum amount for the purpose of transfer of units under the SWP facility is not met, the AMC reserves the right to discontinue the SWP/cancel the registration for SWP.

CALL US AT

Self-service request at your Fingertips

Please visit our website www.assetmanagement.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit www.camsonline.com for an updated list of Official Points of Acceptance of our Registrar / Transfer Agent: Computer Age Management Services.

TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free No.	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

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