AXIS MUTUAL FUND

SIP REGISTRATION FORM

for first time investors, submit Common Application form along with this form.

Distributor ARN/ RIA CODE^/ PMR *(Portfolio Manager's Registration) Number* ^^	SUB-Distributor ARN	Internal SUB-Broker/Sol ID	EUIN	Employee Code
ARN-95070			E-101834	

☐ **Declaration for "Execution-only" transaction (where the above EUIN box is left blank & no investment advice is solicited) / Registered Investment Advisor (RIA) Transaction/EOP (for digital transactions):** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

SIGNATURE(S) 1st Holder/Guardian/POA/Authorised Signatory	SIGNATURE(S) 2nd Holder/Authorised Signatory	SIGNATURE(S) 3rd Holder/Authorised Signatory

1 YOUR INFORMATION (MANDATORY)

EXISTING INVESTOR'S FOLIO NUMBER
(If you have an existing folio with KYC validated, please mention here)

Folio number

Your Name (as in PAN Card / KYC records) Mr. Ms. M/s.

Name of the Guardian Mr. Ms. M/s. (In case First / Sole Applicant is minor) / Contact Person - Designation / PoA HOLDER (In case of Non-individual Investors)

Your PAN | 2nd Holder PAN | 3rd Holder PAN

2 SIP DETAILS

SIP Registration Mode ☐ A-OTM ☐ K-OTM ☐ Mandate along with SIP form

OTM Reference No.

Scheme / Plan / Option	Frequency (Ref Inst. no. 5)	SIP Date/Day (DD) (Ref Inst. no. 5)	Enrollment Period (MMYY)	SIP Amount	TOP-UP Facility (Optional) Only available for Monthly SIP
	☐ **Daily ☐ #Weekly ☐ *Monthly ☐ *Yearly	☐ D D (1st to 28th) ☐ Last date of month	From To Maximum Duration of 40 years	₹ in figures in words	☐ % Top-Up ☐ Fixed Amount % (in multiple of 5 only) ₹ in figures Frequency: ☐ Half Yearly ☐ Yearly in words
	☐ **Daily ☐ #Weekly ☐ *Monthly ☐ *Yearly	☐ D D (1st to 28th) ☐ Last date of month	From To Maximum Duration of 40 years	₹ in figures in words	☐ % Top-Up ☐ Fixed Amount % (in multiple of 5 only) ₹ in figures Frequency: ☐ Half Yearly ☐ Yearly in words
	☐ **Daily ☐ #Weekly ☐ *Monthly ☐ *Yearly	☐ D D (1st to 28th) ☐ Last date of month	From To Maximum Duration of 40 years	₹ in figures in words	☐ % Top-Up ☐ Fixed Amount % (in multiple of 5 only) ₹ in figures Frequency: ☐ Half Yearly ☐ Yearly in words

In case of multiple selection, SIP Top Up will be registered with 'Fixed Amount mentioned'.
SIP minimum Top-up amount is ₹ 1/- and in multiple of ₹1/-for all schemes except Axis ELSS Tax Saver Fund the minimum amount is ₹ 500/- and in multiples of ₹ 500/- thereafter.

SIP initial payment details (Optional)

Drawn on bank / branch name | Amount

Mode ☐ Cheque/DD | Cheque/DD | Dated D D M M Y Y Y Y

In case of multiple SIP, mention "Axis MF Multiple Schemes" on the payment instrument.

3 DECLARATION AND SIGNATURE (to be signed by all unit holders if mode of holding is 'joint')

I / We declare that the particulars furnished here are correct. I / We authorize Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Axis Mutual Fund about any changes in my bank account. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

✕ You/ Sole Applicant /Guardian	Second Applicant	Third Applicant

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AXIS MUTUAL FUND **ACKNOWLEDGMENT SLIP (To be filled by the investor)**

Investor Name			
SIP Scheme 1		Top-up	☐ Yes ☐ No
SIP Scheme 2		Top-up	☐ Yes ☐ No
SIP Scheme 3		Top-up	☐ Yes ☐ No

SIGNATURE(S) Stamp & Signature

INSTRUCTIONS FOR SIP & TOP-UP

1. Multiple SIP registration facility is not available for Axis Children's Fund, ETF schemes and during NFO.
2. OTM end date cannot be more than 40 years form the date of the mandate.
3. The end date mentioned on SIP application should be equal to or, less than the end date mentioned on SIP NACH/OTM. If SIP end date exceeds from the date mentioned on the NACH/OTM then, SIP will be registered as per NACH/OTM end date.
4. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date.
5. *Investor shall have the option of choosing any date. In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day/date. If SIP debit date is not mentioned default date would be considered as 7th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day. ** Will be triggered and processed only on Business Days. # will be triggered and processed on the day opted by the investor. If the day opted falls on non-business day, it will be triggered and processed on the next business day.
6. Please refer below table for minimum installments:

Schemes	Group	Daily (₹)	Weekly (₹)	Monthly (₹)	Yearly (₹)	Inst.
Axis Balanced Advantage Fund, Axis Large Cap Fund, Axis Gold Fund, Axis Mid Cap Fund, Axis Flexi Cap Fund, Axis Small Cap Fund, Axis Multi Asset Allocation Fund, Axis Value Fund, Axis Multicap Fund, Axis Money Market Fund, Axis Short Duration Fund, Axis Ultra Short Duration Fund, Axis Treasury Advantage Fund.	A	10	100	100	12,000	D/W/M:6 (Yearly: 3)
Axis Focused Fund, Axis Income Plus Arbitrage Active FOF, Axis Corporate Bond Fund, Axis Arbitrage Fund, Axis Aggressive Hybrid Fund, Axis Equity Savings Fund, Axis Global Equity Alpha Fund of Fund, Axis Greater China Equity Fund of Fund, Axis Global Innovation Fund of Fund, Axis Strategic Bond Fund, Axis Conservative Hybrid Fund, Axis Quant Fund, Axis NIFTY 100 Index Fund, Axis Large & Mid Cap Fund, Axis NIFTY 50 Index Fund, Axis NIFTY Next 50 Index Fund, Axis Nifty Smallcap 50 Index Fund, Axis Nifty Midcap 50 Index Fund, Axis Nifty Bank Index Fund, Axis Nifty 500 Index Fund, Axis Services Opportunities Fund, Axis Nifty 500 Momentum 50 Index Fund, AXIS NIFTY500 VALUE 50 INDEX FUND, Axis Nifty500 Quality 50 Index Fund, Axis Multi-Asset Active FoF, Axis BSE India Sector Leaders Index Fund, Axis Nifty India Defence Index Fund,Axis Nifty Capital Markets Index Fund, AXIS SILVER FUND OF FUND.	B	100	100	100	12,000	D/W/M:6 (Yearly: 3)
Axis Banking & PSU Debt Fund, Axis Children's Fund, Axis Gilt Fund, Axis Dynamic Bond Fund, Axis ESG Integration Strategy Fund, Axis Credit Risk Fund, Axis Floater Fund, Axis Multi Factor Passive FoF, Axis NASDAQ 100 US Specific Equity Passive FOF, Axis Retirement Fund – Conservative Plan, Axis US Specific Treasury Dynamic Debt Passive FOF, Axis CRISIL IBX SDL May 2027 Index Fund, Axis Long Duration Fund, Axis CRISIL-IBX AAA Bond NBFC – Jun 2027 Index Fund, Axis CRISIL-IBX AAA Bond Financial Services – Sep 2027 Index Fund, Axis CRISIL-IBX AAA Bond NBFC-HFC – Jun 2027 Index Fund, Axis CRISIL IBX 50:50 Gilt Plus SDL September 2027 Index Fund.	C	100	100	1000	12,000	D/W/M:6 (Yearly: 3)
AXIS CONSUMPTION FUND, AXIS MOMENTUM FUND, Axis India Manufacturing Fund.	D	100	100	500	6,000	D/W/M:6 (Yearly: 3)
Axis ELSS Tax Saver Fund	E	500	500	500	6,000	D/W/M:6 (Yearly: 3)
Axis Liquid Fund.	F	1000	1000	1000	NA	D/W/M:6
Axis Overnight Fund.	G	100	100	NA	NA	D/W: 6
Axis CRISIL-IBX Financial Services 3-6 Months Debt Index Fund	H	NA	NA	1000	NA	M: 6
Axis Income Plus Arbitrage Passive FOF, Axis Gold and Silver Passive FOF	I	100	100	100	6,000	D/W/M:6 (Yearly: 3)

7. If no amount is mentioned minimum SIP installment amount would be considered.
8. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
9. For daily, weekly and monthly frequency the SIP will be discontinued automatically if payment is not received for three successive installments. In case of Yearly frequency, the SIP registration will stand automatically cancelled, in case of 2 consecutive failed debits.
10. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Revised timeline for SIP cancellation is T+2 working days. Any transaction presented before cancellation, shall be processed.
11. Mandate will be processed through NACH platform offered by NPCI.
12. Investor will not hold Axis Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
13. Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE - FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
14. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:
    - Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
    - The application form for availing the SIP Top-up facility should be submitted 21 days before the first SIP instalment date.
    - The minimum amount for SIP Top-up facility is Re 1- and in multiples of Re 1/- for all schemes offering SIP facility; except Axis ELSS Tax Saver Fund, where the minimum amount for SIP Top-up is Re 500 and in multiples of Rs 500 thereafter.
    - The minimum Top-up percentage is 5% of the SIP amount and in multiples of 5% thereafter for all schemes offering SIP facility. Currently, percentage based SIP Top-up is available for physical mode. The percentage based SIP Top-up is unavailable for Axis ELSS Tax Saver Fund.
    - Percentage SIP Top-up would be computed on the immediately preceding SIP instalment amount.
    - In case of discrepancy in the Top-Up amount/percentage, SIP will be registered without Top-Up Facility.
    - Top-up frequencies available are Half-Yearly/ Yearly/ Dynamic (i.e. as and when requested).
    - In case Top-Up frequency is not indicated, it will be considered as Yearly by Default.
    - The Top-up date will correspond to the date of registered SIP.
    - Top-up will continue till the end of the SIP tenure by default.
    - In case of Dynamic Top-up option, the gap between SIP registration and first Top-Up request should be at least 6 months and subsequently the gap between two Top-up requests should be at least 3 months.
    - No modification can be made to SIP Top-up frequency / amount / percentage during the SIP tenure. SIP Top-up facility can be discontinued only by cancelling the SIP."
    - Please see the illustration below to know how to calculate SIP Top-Up amount:
        - SIP Starts on 07/May/2016 • SIP ends on 07/12/2099 • SIP amount is ₹1000
        - Top-Up amount is ₹ 500 • Top-Up Frequency is Half-yearly

Top-Up date	SIP Amount (₹)	Top-Up Amount (₹)	New SIP Amount (₹)
7-Nov-2016	1000	500	1500
7-May-2017	1500	500	2000
7-Nov-2017	2000	500	2500
7-May-2018	2500	500	3000

AXIS MUTUAL FUND

ONE TIME MANDATE (OTM) FORM

- One Time Mandate (OTM) is a common application form for registration of mandate centrally and not being folio specific.
- OTM registration will be PAN Based and will be available for investment in all folios available with Axis Mutual Fund for Multiple SIPs and additional purchases.
- One Time Mandate is only available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" mode of holding.

01 INVESTOR DETAILS (If One Time Mandate registration is not required, skip this section and only fill the NACH Mandate below.)

Name of Applicant

PAN

Bank Name **Account No.**

I / We declare that the particulars furnished here are correct. I / We authorize Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time.

If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible.

I/We will also inform Axis Mutual Fund about any changes in my bank account.

I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form.

Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

I/ We hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of Axis Mutual Fund using this facility.

I/ We request you to make provisions for me/ us and/ or an advisor authorized by me to be able to utilize this mandate for any transaction (not limited to SIP and/ or Lumpsum payments) in all the folios associated with my PAN mentioned above any mode of transaction available to me time to time from Axis Mutual Fund.

I/ We give my consent to Axis Asset Management Company Limited and its agents to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

Date D D M M Y Y Y Y

Place

SIGNATURE(S) ×

Signature of PAN Holder (as per folio record)

AXIS MUTUAL FUND

UMRN (Bank use) Date D D M M Y Y Y Y

Tick (✓)
CREATE ✓
MODIFY X
CANCEL X

Sponsor Bank Code (Bank use) Utility Code (Bank use)

I/We hereby authorize **Axis Mutual Fund** to debit (tick✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank (Name of customers bank) IFSC or MICR

an amount of Rupees (In Words) ₹ (In Figures)

Frequency X Daily X Weekly X BI-Monthly X Monthly X Quarterly X Half-Yearly X Annually ✓ As & when presented

Debit Type X Fixed Amount ✓ Max Amount

Reference 1 (PAN No.) Phone No.

Reference 2 (All Schemes of Axis Mutual Fund) Email ID

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per the latest schedule of the charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit the account based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit. 4. We understand and accept that in case transactions initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law. action may be taken against me/us under applicable law. (including Negotiable Instruments Act)

PERIOD

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Maximum period of validity of this mandate is 40 years only

×

Signature Primary Account holder | Signature of Account holder | Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

MANDATORY FIELDS : • Instrument Date • Account type • Bank A/c number (core banking a/c no only) • Bank name • IFSC code or MICR code (as per the cheque / pass book) • Amount (in words & in figures) • Period start date and end date • Account holder signature • Account holder name as per bank records

ACKNOWLEDGMENT SLIP (To be filled by the investor)

Investor Name

PAN

SIGNATURE(S) Stamp & Signature

INSTRUCTIONS FOR ONE TIME MANDATE

1. One Time Mandate is currently available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" the mode of holding.
2. OTM end date cannot be more than 40 years form the date of the mandate.
3. The end date mentioned on SIP application should be equal to or, less than the end date mentioned on SIP NACH/OTM. If SIP end date exceeds from the date mentioned on the NACH/OTM then, SIP will be registered as per NACH/OTM end date.
4. Registration of One Time Mandate will take 21 days from the date of submission of form.
5. Mandate will be processed through NACH platform offered by NPCI.
6. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
7. In case the Mandatory fields on the Mandate are not filled, the mandate will be rejected.
8. Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. As investor can register for multiple SIPs with one time NACH mandate. The transaction amount should not exceed the maximum amount mentioned in the NACH mandate. It is suggested to choose a higher amount to commence additional investments in future or to absorb Top-Up increments.
9. Axis One Time Mandate cannot be utilized for Liquid schemes, ETF schemes, closed ended schemes, and during NFO period.
10. The Investor/s shall not hold the AMC liable for the following:
    - For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
    - For unauthorized usage/ unauthorized transactions conducted by using the Facility.
    - For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
    - For any negligence/mistake or misconduct by the Investor/s.
    - For any breach or non-compliance by the Investor/s of the rules/ terms and conditions stated in the Scheme Information Document.
    - For not verifying the identity of the person giving the telephone instructions in the Investor/s name.
    - For not carrying out any such instructions where the AMC has reason to believe (which decision of the AMC the Investor/s shall not question or dispute) that the instructions given are not genuine or are otherwise improper, unclear, vague or cause for doubt.
    - For carrying out a transaction after such reasonable verification as the AMC may deem fit regarding the identity of the Investor/s.
    - In case of error in NAV communication.
    - For accepting instructions given by any one of the Investor/s or his/her authorized person.
11. Axis Asset Management Company Limited (AMC) has the right to ask such information (Key Information) from the available data of the Investor/s before allowing him/ her access to avail the One Time Mandate facility (the Facility). If for any reason, the AMC is not satisfied with the replies of the Investor/s, the AMC has at its sole discretion the right of refusing access without assigning any reason/s to the Investor/s.
12. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
13. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been effected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
14. The Investor/s shall at all times be bound by any modifications and/ or variations made to these Terms and Conditions by the AMC at their sole discretion and without notice to them.
15. The Investor/s agrees and confirms that the AMC has the right to ask the Investor/s for an oral or written confirmation of any transaction request using the Facility and/ or any additional information regarding the Account of the Investor/s.
16. The Investor/s agrees and confirms that the AMC may at its sole discretion suspend the Facility in whole or in part at any time without prior notice.
17. The Investor/s shall not assign any right or interest or delegate any obligation arising herein.
18. The Investor/s shall take responsibility for all the transactions conducted by using the Facility and will abide by the record of transactions generated by the AMC. Further, the Investor/s confirms that such records generated by the AMC shall be conclusive proof and binding for all purposes and may be used as evidence in any proceedings and unconditionally waives all objections in this behalf.
19. The Investor/s agrees that use of the Facility will be deemed acceptance of the Terms and Conditions and the Investor/s will unequivocally be bound by these Terms and Conditions.