helios MUTUAL FUND
Har term ke liye....

SYSTEMATIC TRANSFER PLAN (STP) FORM

Distributor/ RIA Code	Sub Agent ARN	Sub Agent Code/Bank Branch Code/Internal Code	Employee Unique Identification Number
ARN-95070			E-101834

EUIN Declaration: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker and the distributor has not charged any advisory fees on this transaction.
RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above-mentioned SEBI-Registered Investment Adviser/RIA.
Units will be allotted for the amount minus the transaction charges payable to distributor, if applicable.

Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant

EXISTING UNIT HOLDER'S INFORMATION

Folio No. | | | | | | | | |

Name Sole/First Applicant

☐ **New Registration:** For enrollment under STP facility ☐ **Cancellation:** For cancellation of STP facility

SYSTEMATIC TRANSFER PLAN

From Scheme			
To Scheme			
Amount (₹)		**STP Date:**	D D (for Fortnightly, Monthly and Quarterly Frequency)
Frequency [Please tick any one]	☐ Daily ☐ Weekly (week day) ☐ Fortnightly ☐ Monthly ☐ Quarterly		
Tenure:	**From** M M Y Y Y Y **To** M M Y Y Y Y (For minimum STP installments please refer terms & conditions)		

DECLARATION

I / We have read, understood the terms and conditions of the SID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962, and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I /We hereby apply to the Trustees for allotment of Units of the Scheme(s) of Helios Mutual Fund ('Fund') and confirm and declare as follows: I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling /Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign laws. I/We am/are authorised to make this investment as per the Constitutive documents/ authorization(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/up- dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation / submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/we hereby confirm that I/we have not been offered/ communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. If the balance in my/our account does not cover the amount/units of redemption request, I/we authorize you to send the entire such (lesser) balance to me/us.

× Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant

To be signed by all applicants/Unitholders if mode of holding is "Joint".

ACKNOWLEDGMENT SLIP (To be filled in by the Unit holder)

From Scheme		☐ **Registration**	☐ **Cancellation**
To Scheme			
Folio No.		Date	
Received from Mr. / Ms.			
STP Frequency	☐ Daily ☐ Weekly (week day) ☐ Fortnightly ☐ Monthly ☐ Quarterly	**STP Date:**	D D

Terms & Conditions

SYSTEMATIC TRANSFER PLAN (STP)

STP is a facility provided to the investors during ongoing period of the scheme wherein investors can opt to transfer a fixed amount at regular intervals from this scheme to all open-ended schemes of the Fund which is available for investment at that time.

Systematic Transfer Facility (STF) where investors can issue a standing instruction to transfer sums at Daily/Weekly/Fortnightly/Monthly/ Quarterly (calendar quarter) intervals to plans / options within select schemes of the fund.

Date of transfer / minimum amount of transfer:

Frequency	Date of transfer	Minimum amount of transfer	Minimum No. of instalments
Daily	Every business day.	Rs. 1000/- and in the multiples of 1	6
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)		
Fortnightly*	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date)		
Monthly	Any date (10th will be the default date)		
Quarterly**	Any date (10th will be the default date)		
* *Fortnightly STP Frequency is available for STP from Helios Overnight Fund to other Open-ended Schemes.* ** *Quarterly STP Frequency not available for STP from Helios Overnight Fund.*			

- In case of daily STP instalment shall be processed only when it is a Business Day for the Transferor scheme.
- In case of weekly STP, investor has to select specific day of the week on which STP shall be processed. In case investor opts for weekly STP and doesn't mention the day, default day selected for STP shall be Monday.
- An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If the same is not stated or in case of any ambiguity STP enrolment request shall be liable to rejected. In absence of information, the default option for Transferee scheme shall be growth option.
- Load structure of the Transferor Scheme & Transferee Schemes shall also be applicable to STP transactions.
- In case the Weekly / Monthly / Quarterly STP execution dates fall on non-business day, the next business day will be considered as date of transfer.
- If the STP period or no. of installments is not specified in the transaction Form, the STP transactions will be processed until the balance of units in the unit holder's folio in the Transferor Scheme becomes zero.
- STP registered for more than one date under monthly option then it will be considered as separate STP instruction for the purpose of fulfilling the criteria under "Minimum no. of installments" section above.
- A request for STP will be treated as a request for redemption from the transferor scheme and subscription into the selected transferee scheme(s), at the applicable NAV, subject to load and statutory levy, if any.
- The STP mandate has to be submitted 7 business days prior to the first STP date. The STP facility may be discontinued by a Unit holder by giving a written notice of 7 Business days to any of the Official Point(s) of Acceptance. STP mandate will terminate automatically if there is no Unit balance in the Transferor Scheme on the STP transaction date or upon the Mutual Fund receiving a written intimation of death of the sole / 1st Unit holder. Investors could choose to terminate STP by giving a written notice at least 7 business days in advance to the Official Points of Transactions.
- Units marked under lien or pledge in the Transferor Scheme will not be eligible for STP.
- In case the unit balance in the Transferor Scheme is lesser than amount specified by the unit holders for STP, the AMC will transfer remaining unit balance to the Transferee Scheme. STP in a folio of minor will be registered only up to the date of minor attaining majority even though the instruction may be for the period beyond that date.
- Investors should note that more than one STP (i.e. weekly / monthly or quarterly STP) can be registered under same Plan / Option of the Transferor Scheme. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
- The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.