helios MUTUAL FUND
Har term ke liye....

SYSTEMATIC WITHDRAWAL PLAN (SWP) FORM

EXISTING UNIT HOLDER'S INFORMATION

Folio No. | | | | | | | | |

Name Sole/First Applicant

☐ **New Registration:** For enrollment under SWP facility ☐ **Cancellation:** For cancellation of SWP facility

SYSTEMATIC WITHDRAWAL PLAN

Scheme Name	☐ **Direct Plan** ☐ **Regular Plan**		
Option			
Amount (₹)		**Amount (in words)**	
Frequency	[Please tick any one] ☐ Weekly (week day) ☐ Fortnightly (1st or 16th as applicable) ☐ Monthly ☐ Quarterly		
SWP Date:	D D (for Fortnightly, Monthly and Quarterly Frequency)		
Tenure:	**From** M M Y Y Y Y	**To** M M Y Y Y Y	

DECLARATION

I / We have read, understood the terms and conditions of the SID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962, and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I /We hereby apply to the Trustees for allotment of Units of the Scheme(s) of Helios Mutual Fund ('Fund') and confirm and declare as follows: I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling /Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign laws. I/We am/are authorised to make this investment as per the Constitutive documents/ authorization(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/up- dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation / submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/we hereby confirm that I/we have not been offered/ communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its distributor for this investment. If the balance in my/our account does not cover the amount/units of redemption request, I/we authorize you to send the entire such (lesser) balance to me/us.

X Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant

To be signed by all applicants/Unitholders if mode of holding is "Joint".

Terms & Conditions

SYSTEMATIC WITHDRAWAL PLAN (SWP)

This facility enables an investor to withdraw sums from their Unit accounts in the Scheme at periodic intervals through a one-time request. The withdrawals can be made as follows:

Frequency	Date of transfer	Minimum amount of transfer	Minimum No. of instalments
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	Rs. 1000/- and in the multiples of 1	6
Fortnightly*	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date)		
Monthly	Any date (10th will be the default date)		
Quarterly**	Any date (10th will be the default date)		

** Fortnightly SWP Frequency is available for Helios Overnight Fund only.*
*** Quarterly SWP Frequency is not available for Helios Overnight Fund.*

- The withdrawals will commence from the start date mentioned by the investor in the SWP Application Form. The Units will be redeemed at the Applicable NAV of the respective dates on which such withdrawals are sought.
- The request for enrollment / processing of SWP will only be on a Business Day at the applicable NAV. In case during the term of SWP processing date falls on a non-Business Day, then such request will be processed on the next following Business Day's applicable NAV.
- The request for enrollment of SWP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least 7 Business Days in advance before the execution / commencement date.
- The request for discontinuation of SWP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least 7 Business Days in advance before the execution / commencement date. The provision for Minimum Application Amount will not be applicable under SWP Investments.
- A request for STP / SWP will be treated as a request for Redemption from/Subscription into the respective Option(s)/Plan(s) of the Scheme(s) as opted by the Investor, at the applicable NAV.

ACKNOWLEDGMENT SLIP (To be filled in by the Unit holder)

Scheme Name	☐ **Registration** ☐ **Cancellation**		
Folio No.		Date	
Received from Mr. / Ms.			
SWP Frequency	☐ Weekly (week day) ☐ Fortnightly (1st or 16th as applicable) ☐ Monthly ☐ Quarterly	**SWP Date:**	D D