SIP & SPECIAL SIP REGISTRATION FORM

(For first time investors, Kindly submit this form along with Common Application form)

JM Financial
MUTUAL FUND

DISTRIBUTOR INFORMATION				FOR OFFICE USE ONLY	
Name & ARN of Distributor / RIA Code*	Employee Unique Identification No. (EUIN)^	Sub-Broker ARN Code	No. Internal Sub-Broker Code (as alloted by Distributor)	In-House number as per K-BOLT	Date ,Time and Number as per Time Stamping Machine
ARN- 95070	E 101834				

^Mandatory: Furnishing of EUIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please ✓ the box).

☐ **Declaration:** "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

***RIA/Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes managed by you to the above mentioned SEBI registered investment adviser/RIA.

SIGNATURE (s)	Sole/First Applicant/Guardian	Second Applicant	Third Applicant

UNITHOLDER INFORMATION (MANDATORY) | **Folio Number** (for existing Unit Holder):

Name (as in PAN car d/KYC records) | Mr. | Ms. | M/s.

PAN | Date of Birth (M M D D Y Y Y Y) | Mobile

CKYC No.

SIP Details | SIP Registration Mode ☐ J-OTM ☐ K- OTM ☐ Mandate along with SIP form

*OTM Reference No. | (if Multiple OneTime Mandate are registered)

Scheme / Plan / Option	Frequency	SIP Date (DD)	Enrollment Period (MMYY)	SIP Amount	TOP-UP Facility: Frequency	TOP-UP Facility: Top-up SIP Amount
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	☐☐ Default SIP Date 5th Day (Weekly SIP)	From / To	₹ in figures / in words	☐ Quarterly ☐ Half Yearly ☐ Yearly	₹ in figures TOP-UP CAP AMOUNT ₹ in figures
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	☐☐ Default SIP Date 5th Day (Weekly SIP)	From / To	₹ in figures / in words	☐ Quarterly ☐ Half Yearly ☐ Yearly	₹ in figures TOP-UP CAP AMOUNT ₹ in figures
	☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	☐☐ Default SIP Date 5th Day (Weekly SIP)	From / To	₹ in figures / in words	☐ Quarterly ☐ Half Yearly ☐ Yearly	₹ in figures TOP-UP CAP AMOUNT ₹ in figures

SIP initial payment details (Optional).

*(Please provide your existing OTM Reference number. No need to resubmit the OTM form. SIP can begin after 7 business days.)

Drawn on bank / branch name | Amount

Mode ☐ Cheque/DD | Cheque/DD No | Dated (D D M M Y Y Y Y)

In case of multiple SIP, mention "JM Financial Mutual Fund - Collection Account" on the payment instrument.

Declaration and Signature (to be signed by all unit holders if mode of holding is 'joint')

I / We declare that the particulars furnished here are correct. I / We authorize JM Financial Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform JM Financial Mutual Fund about any changes in my bank account. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

× You/ Sole Applicant /Guardiant	Second Applicant	Third Applicant

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JM Financial
MUTUAL FUND

DEBIT MANDATE FORM NACH

Tick (✓)

CREATE	✓
MODIFY	x
CANCEL	x

UMRN : | Date

Sponsor Bank Code : | Utility Code

I/We here by authorize : **JM Financial Mutual Fund** | to debit (tick ✓) **SB / CA / CC / SB-NRE / SB-NRO / Other**

Bank a/c number :

with Bank | IFSC | or MICR

an amount of Rupees | ₹

FREQUENCY [X] Daily [X] Weekly [X] Bi-Mthly [X] Mthly [X] Qtly [X] H-Yrly [X] Annually [✓] As and When presented | Debit Type [X] Fixed Amount [✓] Maximum Amount

Reference 1: Folio No: Optional | Reference 2: **All Schemes of JM Financial Mutual Fund**

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my amount as per the latest schedule of the charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood and made by me/us. I am Authorizing the user entity/corporate to debit amount, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/ amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit. 4. I/We understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

PERIOD

From ☐☐ ☐☐ ☐☐☐☐

to ☐☐ ☐☐ ☐☐☐☐

The Maximum validity for this Mandate is for 40 years

× Signature of Account Holder | Signature of Account Holder | Signature of Account Holder

1. Name as in Bank Record | 2. Name as in Bank Record | 3. Name as in Bank Record

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am/We are authorizing the user entity / corporate to debit my/our account.
- I/We have understood that I am/we are authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I/We have authorized the debit.

TERMS AND CONDITIONS

1. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 Business days before the first SIP Installment date if a One Time Mandate (OTM) is not registered, and at least 7 business days prior in case UMRN of already registered is provided. Refer below table.

Mandate Type/ Modes of Registration	Timeline for Registration
NACH / OTM (Physical New Mandate)	21 calendar days
NACH / OTM (Already Registered)	7 calendar days
ISIP	15 calendar Days

Note: NACH/I-SIP registrations depends on the Payment Aggregator and the Investor Bank's confirmation. Any delay from them will further delay the SIP deduction.

2. Please refer below table for minimun SIP amounts and minimun SIP installments:

Frequency under SIP facility	Permissible Dates	Minimum Amount	Minimum number of installments
Daily	Any Day of the month (1st to 28th)*	Rs. 500 and in multiples of Re.1/-	6 Instalments
Weekly		Rs. 500 and in multiples of Re.1/- thereafter	
Fortnightly		Rs. 500 and in multiples of Re.1/- thereafter	
Monthly		Rs. 1,000 and in multiples of Re.1/- thereafter	
Quarterly		Rs. 1,000 and in multiples of Re.1/- thereafter	

*For JM ELSS Tax Saver Fund, minimum SIP amount is Rs. 500/- and multiples of Rs. 500/-.

3. Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign with effect from October 01, 2023.
4. If investor did not mention the frequency the default frequency will Monthly and default day is Monday for Weekly frequency.
5. Investor shall have the option of choosing any date of the month as the SIP date. If SIP date is not mentioned default date would be considered as 5th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
6. Default Option for SIP - The Investor is required to furnish all the stipulated details in the Application, SIP Mandate, NACH Forms etc. However, in case, any Investor fails to mention the "start date" and/ or "end date" for the SIP Instalment, the NACH application may be rejected by the NPCI.

   Further, If Investor fails to mention the "start date" the default date is 5th of the subsequent month, after completing 30 days from the date of registration of SIP. The maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 40 years.
7. If no amount is mentioned NACH application minimum SIP installment amount would be considered.
8. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
9. The SIP will be discontinued automatically if payment is not received for three successive instalments.
10. Investors can discontinue a SIP at any time by sending a written request to any Oficial Point of Acceptance or to the registrar KFin Technologies Limited. Notice of such discontinuance should be received at least 5 Business day prior to the due date of the next installment / debit. Further, same can be stopped through our website through investor portal.
11. Mandate will be processed through NACH platform offered by NPCI.
12. Investor will not hold JM Financial Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. JM Financial Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
13. Investors can avail the Top-up SIP facility in both physical and Online mode.
14. If date selected by the investor is prior to the 21 Business days, the SIP will start from next available date.
15. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at predefined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:

- Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
- The minimum amount for JM Financial Mutual Fund TOP-UP facility is Rs. 100/- and in multiples of Rs. 100/- for all schemes; except JM ELSS Tax Saver Fund the minimum amount is Rs. 500 and in multiples of Rs. 500 thereafter.
- If no amount is mentioned as TOP-UP amount under frequency quarterly, half yearly and yearly, minimum TOP-UP amount would be considered, i.e., Rs. 500/- for all schemes.
- TOP-UP frequencies available are Quarterly/ Half-Yearly/ Yearly.
- In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
- TOP-UP will continue till the End of the SIP tenure by default.
- In case an investor wishes to change the Top-Up amount, he/she must provide a cancellation for the existing SIP and register fresh SIP.
- TOP-UP cannot be discontinued anywhere during the SIP tenure. In case 3 consecutive installments fails due to insufficient funds.
- Please see the illustration below to know how to calculate SIP Top-Up amount:

SIP Tenure: 10 Jan 2023 to 10 Dec 2028 ; Monthly SIP Installment: Rs. 2000/-				
TopUp Amount: Rs.1000/- ; Top-Up Frequency: Yearly				
Installment No(s)	From Date	To Date	Monthly SIP Installment	SIP Top-Up Amount
1 to 12	10-Jan-23	10-Dec-23	2000	NA
13 to 24	10-Jan-24	10-Dec-24	3000	1000
25 to 36	10-Jan-25	10-Dec-25	4000	1000
37 to 48	10-Jan-26	10-Dec-26	5000	1000
49 to 60	10-Jan-27	10-Dec-27	6000	1000

16. Once the Top-up cap amount reached the upper limit, the Top-up will be discontinued. However, SIP will continue with Top-Up cap amount for remaining period of SIP tenure.
17. As per the latest circular number NPCI/2023-24/NACH/008 dated August 18,2023 from NPCI, the maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 40 years, with e ect from April 01, 2024. Existing SIPs will not get impacted on the above conditions.