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SYSTEMATIC TRANSFER PLAN

PPFAS MUTUAL FUND
There's only one right way©

(Please refer Product labeling available on cover page of the KIM and terms and conditions overleaf)

Enrollment Form No._____

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)						**FOR OFFICE USE ONLY (TIME STAMP)**
ARN	ARN / Distributor Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIN)	
ARN-						

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder .

Date: D D M M Y Y Y Y

EUIN Declaration (only where EUIN box is left blank) (Refer Instruction No. 15)
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here	Sign Here	Sign Here
First / Sole Unit Holder / Guardian	Second Unit Holder	Third Unit Holder

I/ We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & conditions mentioned overleaf of Systematic **Transfer Plan (STP) and the relevant Scheme(s) and hereby apply for enrollment under the Systematic Transfer Plan of the following Scheme(s)/Plan(s)/Options(s). The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.**

Please (✓) any one. ☐ NEW REGISTRATION ☐ CANCELLATION

Folio No. of 'Source' Scheme (for existing Unit holder) / Application No. (for new investor)	

Name of the Applicant		KYC is mandatory# Please (✓)
Name of First/SoleApplicant	PAN# or PEKRN# / KYC Number	Proof Attached ☐
Name of Guardian in case First/Sole Applicant is a minor	PAN# or PEKRN# / KYC Number	Proof Attached ☐
Name of Second Applicant	PAN# or PEKRN# / KYC Number	Proof Attached ☐
Name of Third Applicant	PAN# or PEKRN# / KYC Number	Proof Attached ☐

Please attach Proof. If PAN/PEKRN/KYC is already validated, please don't attach any proof. Refer Instruction No. 11 and 12

Name of 'Source' Scheme/Plan/Option	(Investors applying under Direct Plan must mention "Direct" against the Scheme name).
Name of 'Target' Scheme/Plan/Option	(Investors applying under Direct Plan must mention "Direct" against the Scheme name).
Amount (Rs)	In Words:

Write any date in the column below **(Maximum 6 dates)**

☐ Daily	☐ Monthly (Any date, maximum six)	☐ Quarterly (Any date, maximum six)	No of Instalments
Daily STP from the source scheme across all plans and/or options will be processed only on business days.			Please write a number OR

☐ Weekly	☐ Fortnightly	Enter Enrollment Period
MON TUE WED THU FRI	1st Instalment **Note:** Second installment date will be considered 15 days from the date of first installment.	From DD/MM/YYYY To DD/MM/YYYY

In case of multiple registrations, please fill up separate Enrollment Forms.
*Default frequency/Date/Day (Refer Instruction 16)

SIGNATURE(S)

First / Sole Unit Holder / Guardian | Second Unit Holder | Third Unit Holder

Please note : Signature(s) should be as it appears on the Application Form and in the same order.In case the mode of holding is joint, all Unit holders are required to sign.

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

PPFAS MUTUAL FUND

Date:_____

Registered Office: 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai - 400 021

Enrollment Form No./Folio No._____

ISC Stamp & Signature

Received from Mr./Ms./M/s._____ 'STP' application for transfer of Units;

from Scheme / Plan / Option _____

to Scheme / Plan / Option _____

TERMS & CONDITIONS / INSTRUCTIONS FOR STP

1. STP is a facility where in unit holder(sl of designated open-ended scheme (sl of PPFAS Mutual Fund Source Scheme) can opt to transfer a fixed amount at regular intervals to designated open-ended scheme(s) of PPFAS Mutual Fund (Target Scheme).The STP Facility is available only for units held/ to be held in Non - demat Mode in the Source and the Target Scheme.

2. The STP Enrollment Form should be completed in English and in Block Letters only. Please tick ( ) in the appropriate box ( ), where boxes have been provided. The STP Enrollment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of PPFAS Mutual Fund.

3. One STP Enrollment Form can be filled for one Scheme/Plan/Option only.

4. Investors are advised to read the Key Information Memorandum(sl (KIMs) and Scheme Information Document(sl (SIDs) of the Target Scheme(sl and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of PPFAS Mutual Fund, brokers/distributors and also displayed at the PPFAS Mutual Fund website i.e. http:/ /amc.ppfas.com

5. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Target Scheme will be as per the existing folio number of the Source Scheme, Units will be allotted under the same folio number. Unitholders' names should match with the details in the existing folio number, failing which, the application is liable to be rejected.

6. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date/ Day and the same will be considered for the purpose of determining the applicability of NAV. Unit holders should be aware that if they decide to take up this facility, there is possibility of erosion of capital e.g. If the unit holder decides to transfer Rs. 1,000 every month and the appreciation is Rs. 100, then such transfer proceeds will comprise of Rs. 100 from the capital appreciation and Rs. 900 from the unit holder's capital amount.

7. If the STP period or no. of installments is not specified in the transaction Form, the STP transactions will be processed until the balance of units in the unit holder's folio in the Transferor/Source Scheme becomes zero.
In case the End Date is mentioned but Start Date is not mentioned, the application will be registered after expiry of 10 days from submission of the application from the default date i.e. 10th of each month (or the immediately succeeding Business Day), provided the minimum number of installments are met. There will be no maximum duration for STP enrollment. However, STPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The STP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age

8. In respect of STP enrollments made in the abovementioned Scheme(s), the Load Structure prevalent at the time of enrollment shall govern the Investors during the tenure of the STP. For Scheme load structure, please refer to key Information Memorandum or contact the nearest Investor Service Centre (ISC) of PPFAS Mutual Fund or visit our website http://amc.ppfas.com

9. STP will be automatically terminated if all units are liquidated or withdrawn from the Source Scheme or pledged or upon receipt of intimation of death of the unitholder.

10. Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 7 days prior to the due date of the next transfer date. On receipt of such request, the STP facility will be terminated.

11. Permanent Account Number: SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) {Except as mentioned below} irrespective of the amount of investment. Where the applicant is a minor, and does not possess his/ her own PAN, he/ she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/ processed. PAN card copy is not required separately if KYC acknowledgment letter is made available. For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website http://amc.ppfas.com

PAN Exempt Investments: PAN Exempt KYC Reference Number (PEKRN) holders may enroll for this facility. For further details on PAN exempt Investments, refer Instructions of Scheme Application Form or Statement of Additional Information. However, if the amount per installment is Rs. 50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

12. Know Your Customer (KYC) Compliance: Investors should note that it is mandatory for all registrations for Systematic Transfer Plan (STP) to quote the KYC Compliance Status/ KYC Number, as applicable for each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgment Letter. For more details, please refer to the Statement of Additional Information available on our website http:/ /amc.ppfas.com

13. Investment through Distributors

Distributors/ Agents are not entitled to distribute units of mutual funds unless they are registered with Association of Mutual Funds in India (AMFI). Every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the Employee Unique Identification Number (EUIN) obtained by him/her from AMFI in the Application Form. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor, please ensure that the EUIN is correctly filled up in the Application Form However, in case of any exceptional cases where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form. These requirements do not apply to Overseas Distributors.

New cadre distributors: New cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible scheme is available on www.amc.ppfas.com) They also hold an EUIN which must be quoted in the application form. In case your application through such distributor is not for an eligible scheme, it is liable to be rejected.

Direct Investments

Investors applying under Direct Plan must mention "Direct in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Target Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.

14. PPFAS Mutual Fund / PPFAS Asset Management Private Limited reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website http:/ /amc.ppfas.com

15. Please refer Instructions below:

Type of STP	Default Day/ Date	Minimum Installments	Min No. of installment	Minimum Total STP (in Rs.)	Revised frequency day/date
STP for Parag Parikh Flexi Cap Fund, Parag Parikh Large Cap Fund, Parag Parikh Liquid Fund, Parag Parikh Conservative Hybrid Fund, Parag Parikh Arbitrage Fund & Parag Parikh Dynamic Asset Allocation Fund					
Daily	Daily	Rs. 1,000 & in multiples of Re. 1 thereafter	6	Rs. 6,000	Only on business days
Weekly	Every Monday of the week	Rs. 1,000 & in multiples of Re. 1 thereafter	6	Rs. 6,000	Any day of the week between Monday to Friday
Fortnightly	Every Monday of the alternate week	Rs. 1,500 & in multiples of Re. 1 thereafter	4	Rs. 6,000	Any date within 15 days
Monthly	10th of the Month	Rs. 1,000 & in multiples of Re. 1 thereafter	6	Rs. 6,000	Any date within Month.(up to a maximum of 6 dates per application)
Quarterly	10th of First month of the Quarter	Rs. 3,000 & in multiples of Re. 1 thereafter	4	Rs. 12,000	Any date within Quarter (up to a maximum of 6 dates per application)
STP for Parag Parikh ELSS Tax Saver Fund*					
Daily	Daily	Rs. 500 & in multiples of Rs. 500 thereafter	6	Rs. 3,000	Only on business days
Weekly	Every Monday of the week	Rs. 500 & in multiples of Rs. 500 thereafter	6	Rs. 3,000	Any day of the week between Monday to Friday
Fortnightly	Every Monday of the alternate week	Rs. 1,000 & in multiples of Re. 500 thereafter	4	Rs. 4,000	Any date within 15 days
Monthly	10th of the Month	Rs. 500 & in multiples of Rs. 500 thereafter	6	Rs. 3,000	Any date within Month. (up to a maximum of 6 dates per application)
Quarterly	10th of First month of the Quarter	Rs. 3,000 & in multiples of Rs. 500 thereafter	4	Rs. 12,000	Any date within Quarter (up to a maximum of 6 dates per application)

***Please note that scheme Parag Parikh ELSS Tax Saver Fund is subject to a lock-in period for 3 years, Hence, STP option is applicable to Free units only.**