The Wealth Company MUTUAL FUND

SYSTEMATIC TRANSFER PLAN (STP)

Toll Free Number : 1800 267 3454 | Email : investorcare@wealthcompany.in | Website : www.wealthcompanyamc.in

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	EUIN	Employee Code	RIA CODE^	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp
ARN-95070				E-101834			

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of The Wealth Company Mutual Fund under Direct Plan. I/Wehere by give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. In respect of my/our investment sunder Direct Plan of all schemes of The Wealth Company Mutual Fund, to the above-mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of The Wealth Company Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of The Wealth Company Mutual Fund, to the above-mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder

1. APPLICANT DETAILS

Folio No.

Sole / 1st Unitholder. (as in PAN Card / KYC records)

Guardian's Name (as case of minor) First Name Middle Name Last Name

1st Holder PAN 1st Applicant | 2nd Holder PAN 2nd Applicant | 3rd Holder PAN 3rd Applicant

2. SYSTEMATIC TRANSFER PLAN (STP) (To be submitted at least 4 working days before the 1st due date for transfer).

From Scheme # | Plan ☐ Direct ☐ Regular

Option (tick ✓) ☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout | IDCW Frequency

To Scheme | Plan ☐ Direct ☐ Regular

Option (tick ✓) ☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout | IDCW Frequency

☐ **Systematic Transfer Plan (STP)** (Ref. Instruction 5)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

☐ Daily

☐ Weekly (Monday*) (Monday To Friday) Day of transfer_____

☐ Monthly* (Default) ☐ 1st ☐ 7th ☐ 10th* ☐ 15th ☐ 25th

☐ Quarterly ☐ 1st ☐ 7th ☐ 10th* ☐ 15th ☐ 25th

* default Day/Date

Transfer Instalment ₹ | No. of Instalments | **OR** Transfer Period From D D M M Y Y Y Y (First Instalment) D D M M Y Y Y Y (Last Instalment)

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/ We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

✕ You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder

STP ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Folio No. | Investor Name

From Scheme | To Scheme

Amount | Frequency

Stamp & Signature

STP Enrolment Form - Instruction

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of The Wealth Company Mutual Fund

2. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.

3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of The Wealth Company Mutual Fund, brokers/distributors and also displayed at the The Wealth Company Mutual Fund website i.e. www.wealthcompanyamc.in

4. Unit holders should note that unit holders' details and mode ofholding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.

5. STP offers unit holders the following Plan :
    1. Systematic Transfer Plan (STP): Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Monthly and Quarterly intervals.

6. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.

7. Unit holders are required to fill in either the number of instalments OR the enrolment period in the STP Form, failing which the STP shall be registered as per No. of Instalments mentioned.

8. In case Day of Transfer has not been indicated under STP- Weekly frequency, Monday shall be treated as Default day

9. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date

10. The application for STP enrolment - Monthly & Quarterly Interval should be submitted at least 4 working days and not more than 90 days before the desired commencement date.

11. Please refer below table for min. no. of installments and minimum amount per installment:

STP Frequency	STP Day/Date	STP Amount	STP Installments
Daily	Monday To Friday	100/-	6
Weekly	Monday To Friday	100/-	6
Monthly	1st, 7th, 10th, 15th or 25th	100/-	6
Quarterly	1st, 7th, 10th, 15th or 25th	100/-	6

12. In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.

13. A minimum period of 4 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.

14. The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.

15. The requests for discontinuation of STP shall be subject to an advance notice of 4 working days before the next due date for STP.

16. STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unitholder. Further, in case where the balance amount in a folio is less than the STP/CapSTP amount, the entire amount will be transferred to the transferee scheme.

17. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.

18. The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.wealthcompanyamc.in